

Self appraisal report for Year (2019-20)

Auditor Agency: IIMC

Ministry Name: Ministry of Finance

Department Name: Department of Financial Services

Public Authority Name: Stressed Assets Stabilization Fund (SASF)

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1	Organisation and Function							
1.1	Particulars of its organisation, functions and duties[Section 4(1)(b)(i)]							
1.1.1	Name and address of the Organization	Fully Met	1.28	1.28	http://www.safstrust.com/rtinfo.html	Fully Met	1.28	Okay
1.1.2	Head of the organization	Fully Met	1.28	1.28	http://www.safstrust.com/rtinfo.html	Fully Met	1.28	Okay
1.1.3	Vision, Mission and Key objectives	Fully Met	1.28	1.28	http://www.safstrust.com/rtinfo.html	Fully Met	1.28	Okay
1.1.4	Function and duties	Fully Met	1.28	1.28	http://www.safstrust.com/rtinfo.html	Fully Met	1.28	Okay
1.1.5	Organization Chart	Fully Met	1.28	1.28	http://www.safstrust.com/rtinfo.html	Fully Met	1.28	Okay
1.1.6	Any other details-the genesis, inception, formation of the department and the HoDs from time to time as well as the committees/ Commissions constituted from time to time have been dealt	Not Applicable	0	0		Not Applicable	0	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.2	Power and duties of its officers and employees[Section 4(1) (b)(ii)]							
1.2.1	Powers and duties of officers (administrative, financial and judicial)	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.2.2	Power and duties of other employees	Not Applicable	0	0		Not Applicable	0	Okay
1.2.3	Rules/ orders under which powers and duty are derived and	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.2.4	Exercised	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.2.5	Work allocation	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.3	Procedure followed in decision making process [Section 4(1)(b)(iii)]							
1.3.1	Process of decision making Identify key decision making points	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.3.2	Final decision making authority	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.3.3	Related provisions, acts, rules etc.	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.3.4	Time limit for taking a decisions, if any	Not Applicable	0	0		Not Applicable	0	Okay
1.3.5	Channel of supervision and accountability	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay
1.4	Norms for discharge of functions[Section 4(1)(b)(iv)]							
1.4.1	Nature of functions/ services offered	Fully Met	1.54	1.54	http://www.sftrust.com/rinfo.html	Fully Met	1.54	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.4.2	Norms/ standards for functions/ service delivery	Fully Met	1.54	1.54	http://www.sftrust.com/rtiiinfo.html	Fully Met	1.54	Okay
1.4.3	Process by which these services can be accessed	Fully Met	1.54	1.54	http://www.sftrust.com/rtiiinfo.html	Fully Met	1.54	Okay
1.4.4	Time-limit for achieving the targets	Not Applicable	0	0		Not Applicable	0	Okay
1.4.5	Process of redress of grievances	Fully Met	1.54	1.54	http://www.sftrust.com/rtiiinfo.html	Fully Met	1.54	Okay
1.5	Rules, regulations, instructions manual and records for discharging functions [Section 4(1)(b)(v)]							
1.5.1	Title and nature of the record/ manual /instruction.	Fully Met	1.92	1.92	http://www.sftrust.com/rtiiinfo.html	Fully Met	1.92	Okay
1.5.2	List of Rules, regulations, instructions manuals and records.	Fully Met	1.92	1.92	http://www.sftrust.com/rtiiinfo.html	Fully Met	1.92	Okay
1.5.3	Acts/ Rules manuals etc.	Fully Met	1.92	1.92	http://www.sftrust.com/rtiiinfo.html	Fully Met	1.92	Okay
1.5.4	Transfer policy and transfer orders	Not Applicable	0	0		Not Applicable	0	Okay
1.6	Categories of documents held by the authority under its control [Section 4(1)(b) (vi)]							
1.6.1	Categories of documents	Fully Met	3.85	3.85	http://www.sftrust.com/rtiiinfo.html	Fully Met	3.85	Okay
1.6.2	Custodian of documents/categories	Not Applicable	0	0		Not Applicable	0	Okay
1.7	Boards, Councils, Committees and other Bodies constituted as part of the Public Authority [Section 4(1)(b)(viii)]							
1.7.1	Name of Boards, Council, Committee etc.	Fully Met	0.96	0.96	http://www.sftrust.com/rtiiinfo.html	Fully Met	0.96	Okay
1.7.2	Composition	Fully Met	0.96	0.96	http://www.sftrust.com/rtiiinfo.html	Fully Met	0.96	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
1.7.3	Dates from which constituted	Fully Met	0.96	0.96	nfo.html http://www.safstrust.com/rtii	Fully Met	0.96	Okay
1.7.4	Term/ Tenure	Fully Met	0.96	0.96	nfo.html http://www.safstrust.com/rtii	Fully Met	0.96	Okay
1.7.5	Powers and functions	Fully Met	0.96	0.96	nfo.html http://www.safstrust.com/rtii	Fully Met	0.96	Okay
1.7.6	Whether their meetings are open to the public?	Not Applicable	0	0		Not Applicable	0	Okay
1.7.7	Whether the minutes of the meetings are open to the public?	Not Applicable	0	0		Not Applicable	0	Okay
1.7.8	Place where the minutes if open to the public are available?	Not Applicable	0	0		Not Applicable	0	Okay
1.8	Directory of officers and employees[Section 4(1) (b) (ix)]							
1.8.1	Name and designation	Fully Met	3.85	3.85	http://www.safstrust.com/rtii	Fully Met	3.85	Okay
1.8.2	Telephone , fax and email ID	Fully Met	3.85	3.85	nfo.html http://www.safstrust.com/rtii	Fully Met	3.85	Okay
1.9	Monthly Remuneration received by officers & employees including system of compensation[Section 4(1) (b) (x)]							
1.9.1	List of employees with Gross monthly remuneration	Fully Met	3.85	3.85	http://www.safstrust.com/rtii	Fully Met	3.85	Okay
1.9.2	System of compensation as provided in its regulations	Fully Met	3.85	3.85	nfo.html http://www.safstrust.com/rtii	Fully Met	3.85	Okay
1.10	Name, designation and other particulars of public information officers[Section 4(1) (b) (xvi)]							
1.10.1	Name and designation of the public information officer (PIO), Assistant Public Information officer	Fully Met	3.85	3.85	http://www.safstrust.com/rtii	Fully Met	3.85	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	(APIO) & Appellate Authority				nfo.html			
1.10.2	Address, telephone numbers and email ID of each designated official.	Fully Met	3.85	3.85	http://www.safstrust.com/rtnfo.html	Fully Met	3.85	Okay
1.11	No. Of employees against whom Disciplinary action has been proposed/ taken(Section 4(2))							
1.11.1	No. of employees against whom disciplinary action has been (i) Pending for Minor penalty or major penalty proceedings	Not Applicable	0	0		Not Applicable	0	Okay
1.11.2	(ii) Finalised for Minor penalty or major penalty proceedings	Not Applicable	0	0		Not Applicable	0	Okay
1.12	Programmes to advance understanding of RTI(Section 26)							
1.12.1	Educational programmes	Not Applicable	0	0		Not Applicable	0	Okay
1.12.2	Efforts to encourage public authority to participate in these programmes	Not Applicable	0	0		Not Applicable	0	Okay
1.12.3	Training of CPIO/APIO	Fully Met	1.92	1.92	http://www.safstrust.com/rtnfo.html	Fully Met	1.92	Okay
1.12.4	Update & publish guidelines on RTI by the Public Authorities concerned	Fully Met	1.92	1.92	http://www.safstrust.com/rtnfo.html	Fully Met	1.92	Okay
1.13	Transfer policy and transfer orders[F No. 1/6/2011- IR dt. 15.4.2013]							
1.13.1	Transfer Policy And Transfer Orders[F No. 1/6/2011- IR Dt. 15.4.2013]	Not Applicable	0	0		Not Applicable	0	Okay
Total			66	66			66	
2	Budget and Programme							
2.1	Budget allocated to each agency including all plans, proposed expenditure and reports on disbursements made etc.[Section 4(1)(b)(xi)]							
2.1.1	Total Budget for the public authority	Not Applicable	0	0		Not Applicable	0	Okay
2.1.2	Budget for each agency and plan & programmes	Not Applicable	0	0		Not Applicable	0	Okay
2.1.3	Proposed expenditures	Not Applicable	0	0		Not Applicable	0	Okay
2.1.4	Revised budget for each agency, if any	Not Applicable	0	0		Not Applicable	0	Okay
2.1.5	Report on disbursements made and place where	Not Applicable	0	0		Not Applicable	0	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	the related reports are available							
2.2	Foreign and domestic tours(F.No. 1/8/2012- IR dt. 11.9.2012)							
2.2.1	Budget	Not Applicable	0	0		Not Applicable	0	Okay
2.2.2	Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department.- (a) Places visited, (b) The period of visit, (c) The number of members in the official delegation, (d) Expenditure on the visit	Not Applicable	0	0		Not Applicable	0	Okay
2.2.3	Information related to procurements- (a) Notice/tender enquires, and corrigenda if any thereon, (b) Details of the bids awarded comprising the names of the suppliers of goods/ services being procured, (c) The works contracts concluded – in any such combination of the above-and, (d) The rate/ rates and the total amount at which such procurement or works contract is to be executed.	Not Applicable	0	0		Not Applicable	0	Okay
2.3	Manner of execution of subsidy programme [Section 4(i)(b)(xii)]							
2.3.1	Name of the programme of activity	Not Applicable	0	0		Not Applicable	0	Okay
2.3.2	Objective of the programme	Not Applicable	0	0		Not Applicable	0	Okay
2.3.3	Procedure to avail benefits	Not Applicable	0	0		Not Applicable	0	Okay
2.3.4	Duration of the programme/ scheme	Not Applicable	0	0		Not Applicable	0	Okay
2.3.5	Physical and financial targets of the programme	Not Applicable	0	0		Not Applicable	0	Okay
2.3.6	Nature/ scale of subsidy /amount allotted	Not Applicable	0	0		Not Applicable	0	Okay
2.3.7	Eligibility criteria for grant of subsidy	Not Applicable	0	0		Not Applicable	0	Okay
2.3.8	Details of beneficiaries of subsidy programme (number, profile etc)	Not Applicable	0	0		Not Applicable	0	Okay
2.4	Discretionary and non-discretionary grants [F. No. 1/6/2011-IR dt. 15.04.2013]							
2.4.1	Discretionary and non-discretionary grants/ allocations to State Govt./ NGOs/other institutions	Not Applicable	0	0		Not Applicable	0	Okay
2.4.2	Annual accounts of all legal entities who are	Not Applicable	0	0		Not Applicable	0	Okay

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	provided grants by public authorities							
2.5	Particulars of recipients of concessions, permits of authorizations granted by the public authority[Section 4(1) (b) (xiii)]							
2.5.1	Concessions, permits or authorizations granted by public authority	Not Applicable	0	0		Not Applicable	0	Okay
2.5.2	For each concessions, permit or authorization granted - (a) Eligibility criteria, (b) Procedure for getting the concession/ grant and/ or permits of authorizations, (c) Name and address of the recipients given concessions/ permits or authorizations, (d) Date of award of concessions/ permits of authorizations	Not Applicable	0	0		Not Applicable	0	Okay
2.6	CAG & PAC paras [F No. 1/6/2011- IR dt. 15.4.2013]							
2.6.1	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of the parliament.	Fully Met	50	50.00	http://www.safstrust.com/Aboutus.html	Fully Met	50.00	Okay
Total			50	50			50	
3	Publicity Band Public interface							
3.1	Particulars for any arrangement for consultation with or representation by the members of the public in relation to the formulation of policy or implementation there of [Section 4(1)(b)(vii)] [F No 1/6/2011-IR dt. 15.04.2013]							
3.1.1	Relevant Acts, Rules, Forms and other documents which are normally accessed by citizens	Not Applicable	0	0		Not Applicable	0	Okay
3.1.2	Arrangements for consultation with or representation by - (a) Members of the public in policy formulation/ policy implementation, (b) Day & time allotted for visitors,(c) Contact details of Information & Facilitation Counter (IFC) to provide publications frequently sought by RTI applicants	Not Applicable	0	0		Not Applicable	0	Okay
3.1.3	Public- private partnerships (PPP)- Details of Special Purpose Vehicle (SPV), if any	Not Applicable	0	0		Not Applicable	0	Okay
3.1.4	Public- private partnerships (PPP)- Detailed	Not Applicable	0	0		Not Applicable	0	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	project reports (DPRs)							
3.1.5	Public- private partnerships (PPP)- Concession agreements.	Not Applicable	0	0		Not Applicable	0	Okay
3.1.6	Public- private partnerships (PPP)- Operation and maintenance manuals	Not Applicable	0	0		Not Applicable	0	Okay
3.1.7	Public- private partnerships (PPP) - Other documents generated as part of the implementation of the PPP	Not Applicable	0	0		Not Applicable	0	Okay
3.1.8	Public- private partnerships (PPP) - Information relating to fees, tolls, or the other kinds of revenues that may be collected under authorisation from the government	Not Applicable	0	0		Not Applicable	0	Okay
3.1.9	Public- private partnerships (PPP) -Information relating to outputs and outcomes	Not Applicable	0	0		Not Applicable	0	Okay
3.1.10	Public- private partnerships (PPP) - The process of the selection of the private sector party (concessionaire etc.)	Not Applicable	0	0		Not Applicable	0	Okay
3.1.11	Public- private partnerships (PPP) - All payment made under the PPP project	Not Applicable	0	0		Not Applicable	0	Okay
3.2	Are the details of policies / decisions, which affect public, informed to them[Section 4(1) (c)]							
3.2.1	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Policy decisions/ legislations taken in the previous one year	Not Applicable	0	0		Not Applicable	0	Okay
3.2.2	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more interactive - Outline the Public consultation process	Not Applicable	0	0		Not Applicable	0	Okay
3.2.3	Publish all relevant facts while formulating important policies or announcing decisions which affect public to make the process more	Not Applicable	0	0		Not Applicable	0	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	interactive- Outline the arrangement for consultation before formulation of policy							
3.3	Dissemination of information widely and in such form and manner which is easily accessible to the public [Section 4(3)]							
3.3.1	Use of the most effective means of communication - Internet (website)	Not Applicable	0	0		Not Applicable	0	Okay
3.4	Form of accessibility of information manual/ handbook[Section 4(1)(b)]							
3.4.1	Information manual/handbook available in Electronic format	Not Applicable	0	0		Not Applicable	0	Okay
3.4.2	Information manual/handbook available in Printed format	Not Applicable	0	0		Not Applicable	0	Okay
3.5	Whether information manual/ handbook available free of cost or not [Section 4(1)(b)]							
3.5.1	List of materials available Free of cost	Not Applicable	0	0		Not Applicable	0	Okay
3.5.2	List of materials available At a reasonable cost of the medium	Not Applicable	0	0		Not Applicable	0	Okay
Total			0	0			0	
4	E-Governance							
4.1	Language in which Information Manual/Handbook Available [F No. 1/6/2011-IR dt. 15.4.2013]							
4.1.1	English	Not Applicable	0	0		Not Applicable	0	Okay
4.1.2	Vernacular/ Local Language	Not Applicable	0	0		Not Applicable	0	Okay
4.2	When was the information Manual/Handbook last updated?[F No. 1/6/2011-IR dt 15.4.2013]							
4.2.1	Last date of Annual updation	Not Applicable	0	0		Not Applicable	0	Okay
4.3	Information available in electronic form[Section 4(1)(b)(xiv)]							
4.3.1	Details of information available in electronic form	Not Applicable	0	0		Not Applicable	0	Okay
4.3.2	Name/ title of the document/record/ other information	Not Applicable	0	0		Not Applicable	0	Okay
4.3.3	Location where available	Not Applicable	0	0		Not Applicable	0	Okay
4.4	Particulars of facilities available to citizen for obtaining information[Section 4(1)(b)(xv)]							
4.4.1	Name & location of the faculty	Fully Met	7.14	7.14	http://www.safstrust.com/rtiiinfo.html	Fully Met	7.14	Okay
4.4.2	Details of information made available	Fully Met	7.14	7.14	http://www.safstrust.com/rtii	Fully Met	7.14	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.4.3	Working hours of the facility	Fully Met	7.14	7.14	nfo.html http://www.safstrust.com/rtnfo.html	Fully Met	7.14	Okay
4.4.4	Contact person & contact details (Phone, fax email)	Fully Met	7.14	7.14	http://www.safstrust.com/rtnfo.html	Fully Met	7.14	Okay
4.5	Such other information as may be prescribed under Section 4(i) (b)(xvii)							
4.5.1	Grievance redressal mechanism	Fully Met	3.57	3.57	http://www.safstrust.com/rtnfo.html	Fully Met	3.57	Okay
4.5.2	Details of applications received under RTI and information provided	Fully Met	3.57	3.57	http://www.safstrust.com/rtnfo.html	Fully Met	3.57	Okay
4.5.3	List of completed schemes/ projects/ Programmes	Not Applicable	0	0		Not Applicable	0	Okay
4.5.4	List of schemes/ projects/ programme underway	Not Applicable	0	0		Not Applicable	0	Okay
4.5.5	Details of all contracts entered into including name of the contractor, amount of contract and period of completion of contract	Not Applicable	0	0		Not Applicable	0	Okay
4.5.6	Annual Report	Not Applicable	0	0		Not Applicable	0	Okay
4.5.7	Frequently Asked Question (FAQs)	Not Applicable	0	0		Not Applicable	0	Okay
4.5.8	Any other information such as - (a) Citizen's Charter, (b) Result Framework Document (RFD), (c) Six monthly reports on the , (d) Performance against the benchmarks set in the Citizen's Charter	Not Applicable	0	0		Not Applicable	0	Okay
4.6	Receipt & Disposal of RTI applications & appeals [F.No 1/6/2011-IR dt. 15.04.2013]							
4.6.1	Details of applications received and disposed	Fully Met	14.29	14.29	http://www.safstrust.com/Thertiact2005.html	Fully Met	14.29	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
4.6.2	Details of appeals received and orders issued	Fully Met	14.29	14.29	http://www.safstrust.com/Thertiact2005.html	Fully Met	14.29	Okay
4.7	Replies to questions asked in the parliament[Section 4(1)(d)(2)]							
4.7.1	Details of questions asked and replies given	Not Applicable	0	0		Not Applicable	0	Okay
Total			64	64			64	
5	Information as may be prescribed							
5.1	Such other information as may be prescribed [F.No. 1/2/2016-IR dt. 17.8.2016, F No. 1/6/2011-IR dt. 15.4.2013]							
5.1.1	Name & details of - (a) Current CPIOs & FAAs, (b) Earlier CPIO & FAAs from 1.1.2015	Fully Met	20	20.00	http://www.safstrust.com/rtiiinfo.html	Fully Met	20.00	Okay
5.1.2	Details of third party audit of voluntary disclosure - (a) Dates of audit carried out , (b) Report of the audit carried out	Not Met	20	0		Not Met	0	Okay
5.1.3	Appointment of Nodal Officers not below the rank of Joint Secretary/ Additional HoD - (a) Date of appointment , (b) Name & Designation of the officers	Not Applicable	0	0		Not Applicable	0	Okay
5.1.4	Consultancy committee of key stake holders for advice on suo-motu disclosure - (a) Dates from which constituted, (b) Name & Designation of the officers	Not Met	20	0		Not Met	0	Okay
5.1.5	Committee of PIOs/FAAs with rich experience in RTI to identify frequently sought information under RTI - (a) Dates from which constituted, (b) Name & Designation of the Officers	Not Applicable	0	0		Not Applicable	0	Okay
Total			60	20			20	
6	Information Disclosed on own Initiative							
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information							
6.1.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain	Fully Met	25	25.00	http://www.safstrust.com/rtii	Fully Met	25.00	Okay

Sr. No	Details of disclosure	Category	Marks	Obtained Mark	Remarks	Auditor Category	Auditor Marks	Auditor Remarks/URL
	information				nfo.html			
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Publ							
6.2.1	Whether STQC certification obtained and its validity	Not Met	12.5	0		Not Met	0	It should be obtained from Ministry of Electronic & Technology
6.2.2	Does the website show the certificate on the Website?	Not Applicable	0	0		Not Met	0	Okay
Total			38	25			25	
Grand Total			278	226				226